

Attachment 3: Information of Head of Internal Audit and Compliance

1. Information of Head of Internal Audit Department

Name: Ms. Chitaporn Fongsamut

Position: Director of Internal Audit Department

Education:

- Bachelor of Accounting, Thammasat University

Diploma:

- Thailand Public Accountant Certificate

Work Experience:

- Assistant Executive, Internal Audit Department: PTG Energy Pub Co., Ltd.
- Assistant Audit Director, KPMG Phoomchai Audit Co., Ltd.
- Audit Manager, KPMG Phoomchai Audit Co., Ltd.
- Auditor Assistant, KPMG Phoomchai Audit Co., Ltd.
- Trainee, KPMG Phoomchai Audit Co., Ltd.
- Trainee, The Stock Exchange of Thailand

Training: Organized by

Federation of Accounting Professions under the Royal Patronage of His Majesty the King

- “How to Become an Effective Internal Auditor - a one-day course to become an effective internal auditor”.
- Training Course for Senior Management Accountant Examination (TCMA), Batch 1/2023.
- Ethics training program for professional accountants, reserved for only Certified Public Accountant, Batch 3.

The Institute of Internal Auditors Thailand

- “Innovative IA: Recalibration for the COVID Environment”

Office of the National Cyber Security Commission

- Basic Cyber Security Course, Batch 20.

Thai Law Training

- “Money Laundering”

KPMG Phoomchai Audit Co., Ltd.

- “DPP Technical Update”
- “IFRS Intermediate - TFRS 9 Workshop”
- “IFRS 3 Definition of a Business”
- “Partner & Manager Workshop (Auditor’s report + Business combination issues)”
- “Calculation of Employee Benefits using Actuarial Principles”
- “Annual Audit Update 2019”
- “Auditor’s report and going concern consideration”
- “IFRS 9 Non-Financial Sector (Classification, Measurement and Impairment)”

2. Information of Head of Compliance Department

Name: Ms. Somprapa Chuntanasevee

Position: Director of Compliance Division, Legal Department

Education:

- Bachelor of Laws, Second Class Honors, Faculty of Law, Thammasat University
- Master of Laws, Faculty of Law, Ramkhamhaeng University

Diploma:

- Compliance Officer Certificate, Batch 9, Faculty of Law, Chulalongkorn University
- Finance and Banking Law Certificate, Batch 1, Faculty of Law, Thammasat University

Work Experience:

- Director, Compliance Division, Legal Department, PTG Energy Pub Co., Ltd.
- Deputy Director, Money Laundering, Compliance Department, Kiatnakin Phatra Bank Pub Co., Ltd.
- Assistant Manager, Compliance Department, Thai Credit Retail Bank Co., Ltd.
- Legal Officer, Siam Commercial Bank Pub Co., Ltd.
- Retail Litigation Officer, Metropolitan Debt Consolidation Department, Bangkok Bank Pub Co., Ltd.

Training: Organized by

Anti-Money Laundering Office

- Anti-Money Laundering and Counter-Terrorist Financing Standard Course for reporting persons in accordance with Sections 13 and 16, Batch 1 (18-hour course) for financial institutions (2017)

Thai Institute of Banking and Finance Association

- Financial Action Task Force (FATF): Anti-Money Laundering Measures and Audits course, Batch 2
- Introduction to Financial Institutions Law course, Batch 3
- Compliance Risk Management course
- Foreign Account Tax Compliance Act (FATCA) course, Batch 6
- New Authentication Technologies in the Digital Era: E - KYC for Digital Financial course, Batch 1
- Knowledge Sharing Session: GDPR course

Educational Institutes

- Compliance Risk Management course, Batch 2, organized by the National Institute of Development Administration
- European Union's Personal Data Protection Law or GDPR, Impact and Preparedness of Thai Entrepreneurs course by the Faculty of Law, Chulalongkorn University
- In-depth training program "Personal Data Legalization Strategies" organized by the Academic Service Center, Chulalongkorn University
- Money Laundering Compliance Course on AML/CFT for Banks, Batch 1, organized by the Business Crime and Money Laundering Information Center, Faculty of Law, Chulalongkorn University
- DATA Protection for Business: Road to GDPR Standard course by the Center for Legal Research and Development, Faculty of Law, Chulalongkorn University, in conjunction with the Stock Exchange of Thailand

Governance Department

- Cybersecurity and Personal Data Protection course organized by the Stock Exchange of Thailand
- Data Governance and Thailand's Personal Data Protection Act, B.E. 2562 (A.D. 2019), Implementation course organized by the Bank of Thailand
- Secure Design for Data Privacy course organized by National Credit Bureau (NCB)