

## Attachment 3 : Information of Head of Internal Audit and Compliance

### 1. Information of Head of Internal Audit Department

**Name:** Ms. Chitaporn Fongsamut

**Position:** Director of Internal Audit Department

**Education:**

- Bachelor of Accounting, Thammasat University

**Diploma:**

- Thailand Public Accountant Certificate

**Work Experience:**

- Assistant Executive, Internal Audit Department: PTG Energy Pub Co., Ltd.
- Assistant Audit Director, KPMG Phoomchai Audit Co., Ltd.
- Audit Manager, KPMG Phoomchai Audit Co., Ltd.
- Auditor Assistant, KPMG Phoomchai Audit Co., Ltd.
- Trainee, KPMG Phoomchai Audit Co., Ltd.
- Trainee, The Stock Exchange of Thailand

**Training:** Organized by

Federation of Accounting Professions under the Royal Patronage of His Majesty the King

- “How to Become an Effective Internal Auditor — a one-day course to become an effective internal auditor”.
- Training Course for Senior Management Accountant Examination (TCMA), Batch 1/2023.
- Ethics training program for professional accountants, reserved for only Certified Public Accountant, Batch 3.
- IT Audit for Non IT Auditor, Class 2/2023
- Risk Based Annual Plan for Internal Auditor : From P2P (From Principle to Practical), Class 3/2023

The Institute of Internal Auditors Thailand

- Innovative IA: Recalibration for the COVID Environment
- CAE FORUM 2023 : Perspectives from experiences and expectations towards CAE
- IIAT ANNUAL CONFERENCE 2023 : Internal Audit in a Quick and Ever-Changing World

Office of the National Cyber Security Commission

- Basic Cyber Security Course, Batch 20.
- Strengthen knowledge, understanding and awareness of cyber security, Batch 3.

Thai Law Training

- “Money Laundering”

Association of Personal Data Protection Officers

- Data Governance and Data Protection Driving forces for Digital Transformation

## 2. Information of Head of Compliance Department

**Name:** Ms. Somprapa Chuntanasevee

**Position:** Director of Compliance Division, Legal Department

**Education:**

- Master of Laws, Faculty of Law, Ramkhamhaeng University
- Bachelor of Laws, Second Class Honors, Faculty of Law, Thammasat University

**Work Experience:**

- Director, Compliance Division, Legal Department, PTG Energy Pub Co., Ltd.
- Deputy Director, Money Laundering, Compliance Department, Kiatnakin Phatra Bank Pub Co., Ltd.
- Assistant Manager, Compliance Department, Thai Credit Retail Bank Co., Ltd.
- Legal Officer, Siam Commercial Bank Pub Co., Ltd.
- Retail Litigation Officer, Metropolitan Debt Consolidation Department, Bangkok Bank Pub Co., Ltd.

**Training:** Organized by

**Anti-Money Laundering Office**

- Anti-Money Laundering and Counter-Terrorist Financing Standard Course for reporting persons in accordance with Sections 13 and 16, Batch 1 (18-hour course) for financial institutions (2017)

**Thai Institute of Banking and Finance Association**

- Financial Action Task Force (FATF): Anti-Money Laundering Measures and Audits course, Batch 2
- Introduction to Financial Institutions Law course, Batch 3
- Compliance Risk Management course
- Foreign Account Tax Compliance Act (FATCA) course, Batch 6
- New Authentication Technologies in the Digital Era: E - KYC for Digital Financial course, Batch 1
- Knowledge Sharing Session: GDPR course

**Educational Institutes**

- Compliance Risk Management course, Batch 2, organized by the National Institute of Development Administration
- European Union's Personal Data Protection Law or GDPR, Impact and Preparedness of Thai Entrepreneurs course by the Faculty of Law, Chulalongkorn University
- In-depth training program "Personal Data Legalization Strategies" organized by the Academic Service Center, Chulalongkorn University
- Money Laundering Compliance Course on AML/CFT for Banks, Batch 1, organized by the Business Crime and Money Laundering Information Center, Faculty of Law, Chulalongkorn University
- DATA Protection for Business: Road to GDPR Standard course by the Center for Legal Research and Development, Faculty of Law, Chulalongkorn University, in conjunction with the Stock Exchange of Thailand

**Governance Department**

- Cybersecurity and Personal Data Protection course organized by the Stock Exchange of Thailand
- Data Governance and Thailand's Personal Data Protection Act, B.E. 2562 (A.D. 2019), Implementation course organized by the Bank of Thailand
- Secure Design for Data Privacy course organized by National Credit Bureau (NCB)